

# LIST OF CHAPTERS

|                             |                                                                                               |     |
|-----------------------------|-----------------------------------------------------------------------------------------------|-----|
| <b>Chapter 1</b>            | <b>PRELIMINARY MATTERS</b> .....                                                              | 1   |
|                             | <i>Hon. Jonathan B. Pototsky</i>                                                              |     |
| <b>Chapter 2</b>            | <b>GUILTY PLEAS</b> .....                                                                     | 89  |
|                             | <i>Hon. Peter E. Schoon, Jr.</i>                                                              |     |
| <b>Chapter 3</b>            | <b>MOTIONS TO DISMISS</b> .....                                                               | 133 |
|                             | <i>Hon. Sandra K. Miller</i>                                                                  |     |
|                             | <i>Hon. Steven Fieldman</i>                                                                   |     |
| <b>Chapter 4</b>            | <b>MOTIONS TO SUPPRESS EVIDENCE</b> .....                                                     | 185 |
|                             | <i>Hon. Noel E. Blum</i>                                                                      |     |
| <b>Chapter 5</b>            | <b>TRIAL PROCEDURE</b> .....                                                                  | 239 |
|                             | <i>Hon. Steven Fieldman</i>                                                                   |     |
|                             | <i>Hon. Sandra K. Miller</i>                                                                  |     |
| <b>Chapter 6</b>            | <b>EVIDENCE</b> .....                                                                         | 339 |
|                             | <i>Hon. Dana Nichols</i>                                                                      |     |
| <b>Chapter 7</b>            | <b>SENTENCING</b> .....                                                                       | 427 |
|                             | <i>Hon. JenniLynn E. Lawrence</i>                                                             |     |
| <b>Chapter 8</b>            | <b>POST-CONVICTION ISSUES</b> .....                                                           | 475 |
|                             | <i>Hon. Christopher E. Acker</i>                                                              |     |
| <b>Chapter 9</b>            | <b>DRIVER'S LICENSE CONSIDERATIONS AND<br/>COLLATERAL CONSEQUENCES</b> .....                  | 513 |
|                             | <i>Abraham V Hutt, Esq.</i>                                                                   |     |
| <b>Chapter 10</b>           | <b>DUI COURTS</b> .....                                                                       | 577 |
|                             | <i>Mag. Matthew Zehe</i>                                                                      |     |
| <b>APPENDIX A</b>           | <b>5 C.C.R. 1005-2 — Tests For Alcohol And<br/>Other Drugs (Amended Sept. 19, 2012)</b> ..... | 629 |
| <b>TABLE OF CASES</b> ..... |                                                                                               | 667 |
| <b>SUBJECT INDEX</b> .....  |                                                                                               | 705 |



# TABLE OF CONTENTS

|                  |                                                               |           |
|------------------|---------------------------------------------------------------|-----------|
| <b>Chapter 1</b> | <b>PRELIMINARY MATTERS</b>                                    | <b>1</b>  |
| § 1.1            | <b>THE SUMMONS AND COMPLAINT</b>                              | <b>4</b>  |
| § 1.1.1          | Summary                                                       | 4         |
| § 1.1.2          | Contents of Summons and Complaint                             | 4         |
| § 1.1.3          | The Elements of Alcohol-Related Offenses                      | 6         |
| § 1.1.4          | The Meaning of “Driving”                                      | 8         |
| § 1.1.5          | The Meaning of “Vehicle”                                      | 10        |
| § 1.1.6          | Service of Summons and Complaint                              | 12        |
| § 1.1.7          | Amendment of Summons and Complaint                            | 12        |
| § 1.2            | <b>PROCEDURES FOLLOWING ARREST</b>                            | <b>14</b> |
| § 1.2.1          | General Procedures Following Arrest                           | 14        |
| § 1.2.2          | The Rights of Persons in Custody                              | 16        |
| § 1.2.3          | The Right to a Probable Cause Determination                   | 16        |
| § 1.2.4          | The Right to Counsel                                          | 18        |
| § 1.2.5          | Other Rights of Persons in Custody                            | 22        |
| § 1.3            | <b>BAIL AND BOND</b>                                          | <b>23</b> |
| § 1.3.1          | Summary                                                       | 23        |
| § 1.3.2          | Criteria for Setting Bail                                     | 24        |
| § 1.3.3          | Types of Bonds and the Limits Thereon                         | 26        |
| § 1.3.4          | Bond Conditions                                               | 27        |
| § 1.3.5          | Continuance or Modification of Bond                           | 29        |
| § 1.3.6          | Violation of Bond Conditions                                  | 31        |
| § 1.3.7          | Forfeiture of Bond — Professional Sureties                    | 32        |
| § 1.3.8          | Forfeiture of Bond — Non-professional Sureties                | 34        |
| § 1.3.9          | Disposition of Bond upon Forfeiture<br>or Termination of Bond | 37        |
| § 1.4            | <b>FIRST APPEARANCE AND ARRAIGNMENT</b>                       | <b>38</b> |
| § 1.4.1          | Summary                                                       | 38        |
| § 1.4.2          | Mandatory Advisement — Crim. P. 5                             | 39        |
| § 1.4.3          | Permissive Advisement                                         | 45        |

|                                                                                    |           |
|------------------------------------------------------------------------------------|-----------|
| § 1.4.4—Advisement Procedure . . . . .                                             | 51        |
| § 1.4.5—Sample Advisement Form . . . . .                                           | 51        |
| § 1.4.6—Arraignment . . . . .                                                      | 52        |
| § 1.4.7—Not Guilty by Reason of Insanity or<br>Impaired Mental Condition . . . . . | 54        |
| <b>§ 1.5 JURISDICTION AND VENUE . . . . .</b>                                      | <b>54</b> |
| § 1.5.1—Jurisdiction . . . . .                                                     | 54        |
| § 1.5.2—Venue . . . . .                                                            | 55        |
| <b>§ 1.6 DISQUALIFICATION AND REPLACEMENT<br/>OF JUDGE . . . . .</b>               | <b>57</b> |
| § 1.6.1—Summary . . . . .                                                          | 57        |
| § 1.6.2—Motions to Recuse . . . . .                                                | 58        |
| § 1.6.3—Grounds for Recusal . . . . .                                              | 59        |
| § 1.6.4—Procedure After Recusal . . . . .                                          | 62        |
| <b>§ 1.7 JOINDER AND SEVERANCE . . . . .</b>                                       | <b>62</b> |
| § 1.7.1—Summary . . . . .                                                          | 62        |
| § 1.7.2—Joinder of Offenses . . . . .                                              | 63        |
| § 1.7.3—Severance of Offenses . . . . .                                            | 64        |
| § 1.7.4—Joinder and Severance of Defendants . . . . .                              | 65        |
| <b>§ 1.8 PLEA NEGOTIATION . . . . .</b>                                            | <b>66</b> |
| § 1.8.1—Summary . . . . .                                                          | 66        |
| § 1.8.2—Plea Negotiation Procedures . . . . .                                      | 67        |
| § 1.8.3—Statutory Limitations on Plea Agreements . . . . .                         | 70        |
| § 1.8.4—Interpretation and Enforcement<br>of Plea Agreements . . . . .             | 70        |
| § 1.8.5—Pretrial Diversion . . . . .                                               | 71        |
| § 1.8.6—Deferred Judgment and Sentence . . . . .                                   | 74        |

## *Table of Contents*

|                                                                                                |                                                      |     |
|------------------------------------------------------------------------------------------------|------------------------------------------------------|-----|
| <b>§ 1.9</b>                                                                                   | <b>DISCOVERY AND DISCLOSURE</b> . . . . .            | 78  |
| § 1.9.1—Summary                                                                                | . . . . .                                            | 78  |
| § 1.9.2—Constitutionally Required Discovery                                                    | . . . . .                                            | 79  |
| § 1.9.3—Mandatory Discovery Pursuant to Crim. P. 16                                            | . . . . .                                            | 80  |
| § 1.9.4—Discretionary Discovery Pursuant to Crim. P. 16                                        | . . . . .                                            | 82  |
| § 1.9.5—Discovery Relating to Expert Witnesses                                                 | . . . . .                                            | 83  |
| § 1.9.6—Disclosure by the Defense                                                              | . . . . .                                            | 84  |
| § 1.9.7—Discovery and Disclosure Violations                                                    | . . . . .                                            | 84  |
| <br><b>EXHIBIT</b>                                                                             |                                                      |     |
|                                                                                                | Exhibit 1A—Waiver-of-Counsel Inquiry . . . . .       | 87  |
| <hr/>                                                                                          |                                                      |     |
| <b>Chapter 2</b>                                                                               | <b>GUILTY PLEAS</b> . . . . .                        | 89  |
| <hr/>                                                                                          |                                                      |     |
| <b>§ 2.1</b>                                                                                   | <b>OVERVIEW OF GUILTY PLEAS</b> . . . . .            | 90  |
| <b>§ 2.2</b>                                                                                   | <b>NO CONTEST PLEAS</b> . . . . .                    | 92  |
| <b>§ 2.3</b>                                                                                   | <b>ALFORD PLEAS</b> . . . . .                        | 93  |
| <b>§ 2.4</b>                                                                                   | <b>REQUIREMENTS OF A VALID GUILTY PLEA</b> . . . . . | 95  |
| § 2.4.1—Summary                                                                                | . . . . .                                            | 95  |
| § 2.4.2—The Constitutional Requirements                                                        | . . . . .                                            | 96  |
| § 2.4.3—A “Knowing, Intelligent, and Voluntary” Plea                                           | . . . . .                                            | 99  |
| § 2.4.4—The Requirement of Competency                                                          | . . . . .                                            | 101 |
| § 2.4.5—The Statute and the Rule                                                               | . . . . .                                            | 102 |
| § 2.4.6—Crim. P. 11(b), Rule 5(a)(2)(I): The Right to<br>Remain Silent                         | . . . . .                                            | 104 |
| § 2.4.7—Crim. P. 11(b), Rule 5(a)(2)(II): The Right<br>to Counsel                              | . . . . .                                            | 105 |
| § 2.4.8—Crim. P. 11(b), Rule 5(a)(2)(V): The Right<br>to Bail                                  | . . . . .                                            | 106 |
| § 2.4.9—Crim. P. 11(b)(1): The Nature and Elements<br>of the Charge and the Effect of the Plea | . . . . .                                            | 107 |
| § 2.4.10—Crim. P. 11(b)(2): The Requirement of<br>Voluntariness                                | . . . . .                                            | 111 |

|                                                                                         |            |
|-----------------------------------------------------------------------------------------|------------|
| § 2.4.11—Crim. P. 11(b)(3): The Right to a Jury Trial . . . . .                         | 111        |
| § 2.4.12—Crim. P. 11(b)(4): The Possible Penalties . . . . .                            | 112        |
| § 2.4.13—Crim. P. 11(b)(5): The Effect of<br>Plea Agreements . . . . .                  | 114        |
| § 2.4.14—Crim. P. 11(b)(6): The Factual Basis . . . . .                                 | 114        |
| § 2.4.15—Ascertaining the Defendant’s Prior Record . . . . .                            | 115        |
| <br><b>§ 2.5 PROCEDURES FOR ACCEPTING GUILTY PLEAS . .</b>                              | <b>118</b> |
| § 2.5.1—Summary . . . . .                                                               | 118        |
| § 2.5.2—Personally Addressing the Defendant . . . . .                                   | 120        |
| § 2.5.3—The Use of Written Forms . . . . .                                              | 121        |
| § 2.5.4—Making Findings on the Record . . . . .                                         | 122        |
| § 2.5.5—The Use of Simplified Procedures for<br>Minor Offenses . . . . .                | 123        |
| § 2.5.6—Guilty Pleas by Counsel . . . . .                                               | 124        |
| § 2.5.7—Interpreters . . . . .                                                          | 125        |
| <br><b>§ 2.6 WITHDRAWING GUILTY PLEAS<br/>PRIOR TO SENTENCING . . . . .</b>             | <b>125</b> |
| <br><b>§ 2.7 WITHDRAWING GUILTY PLEAS<br/>AFTER SENTENCING . . . . .</b>                | <b>129</b> |
| <br><b>EXHIBIT</b>                                                                      |            |
| Exhibit 2A—Sample Rule 11 Advisement . . . . .                                          | 131        |
| <br><hr/> <b>Chapter 3 MOTIONS TO DISMISS . . . . .</b>                                 | <b>133</b> |
| <br><b>§ 3.1 DISMISSAL BASED ON THE STATUTORY<br/>RIGHT TO A SPEEDY TRIAL . . . . .</b> | <b>135</b> |
| § 3.1.1—Summary of the Statutory Right to a<br>Speedy Trial . . . . .                   | 135        |
| § 3.1.2—When and How Does the Speedy<br>Trial Period Commence? . . . . .                | 137        |
| § 3.1.3—Summary of Extensions of the<br>Statutory Time Period . . . . .                 | 138        |

## Table of Contents

|                                                                                            |                                                                   |                |
|--------------------------------------------------------------------------------------------|-------------------------------------------------------------------|----------------|
| <b>§ 3.2</b>                                                                               | <b>WAIVER OF THE STATUTORY SPEEDY TRIAL TIME PERIOD</b>           | <b>139</b>     |
| § 3.2.1—Summary                                                                            |                                                                   | 139            |
| § 3.2.2—Accepting a Waiver of the Statutory Time Period                                    |                                                                   | 139            |
| § 3.2.3—Waiver by Request for Continuance                                                  |                                                                   | 140            |
| § 3.2.4—Waiver by Silence                                                                  |                                                                   | 141            |
| § 3.2.5—Waiver by Failure to Appear                                                        |                                                                   | 143            |
| § 3.2.6—Waiver by Action of Defense Counsel                                                |                                                                   | 144            |
| § 3.2.7—Waiver by Plea of Guilty                                                           |                                                                   | 146            |
| <br><b>§ 3.3</b>                                                                           | <br><b>EXCUSABLE DELAYS UNDER THE SPEEDY TRIAL STATUTE</b>        | <br><b>148</b> |
| § 3.3.1—Summary                                                                            |                                                                   | 148            |
| § 3.3.2—Consent to Continuance Requested by Prosecution: C.R.S. § 18-1-405(4)              |                                                                   | 148            |
| § 3.3.3—Incompetency and Insanity: C.R.S. §§ 18-1-405(6)(a) and 16-8.5-101, <i>et seq.</i> |                                                                   | 148            |
| § 3.3.4—Medical Issues Relating to the Defendant: C.R.S. § 18-1-405(6)(a)                  |                                                                   | 150            |
| § 3.3.5—Interlocutory Appeal: C.R.S. § 18-1-405(6)(b)                                      |                                                                   | 151            |
| § 3.3.6—Joinder: C.R.S. § 18-1-405(6)(c)                                                   |                                                                   | 152            |
| § 3.3.7—Mistrial: C.R.S. § 18-1-405(6)(e)                                                  |                                                                   | 152            |
| § 3.3.8—Delay Caused “at the Instance of the Defendant”: C.R.S. § 18-1-405(6)(f)           |                                                                   | 153            |
| § 3.3.9—Prosecution Requests for Continuance: C.R.S. § 18-1-405(6)(g)                      |                                                                   | 153            |
| § 3.3.10—Reasonable Time for Rescheduling: C.R.S. § 18-1-405(6)(h)                         |                                                                   | 155            |
| § 3.3.11—Change of Venue: C.R.S. § 18-1-405(6)(i) and (7)                                  |                                                                   | 155            |
| § 3.3.12—Reversal on Appeal                                                                |                                                                   | 156            |
| <br><b>§ 3.4</b>                                                                           | <br><b>OTHER DELAYS IN THE PROCEEDINGS AFFECTING SPEEDY TRIAL</b> | <br><b>156</b> |
| § 3.4.1—Summary                                                                            |                                                                   | 156            |
| § 3.4.2—Delay Caused by the Right to Counsel                                               |                                                                   | 157            |

|                                                                                       |         |
|---------------------------------------------------------------------------------------|---------|
| § 3.4.3—Delay Caused by Failure of Plea Agreement . . . . .                           | 160     |
| § 3.4.4—Delay Caused by Filing of Additional Charges . . .                            | 160     |
| § 3.4.5—Delay Caused by Dismissal and Re-filing . . . . .                             | 160     |
| § 3.4.6—Delay Caused by Extradition to Another State . . .                            | 161     |
| § 3.4.7—Delay Caused by Defense Counsel’s Calendar . . .                              | 162     |
| § 3.4.8—Delay Caused by Defendant’s Request<br>to Sever Charges . . . . .             | 162     |
| <br><b>§ 3.5 OTHER SPEEDY TRIAL CONSIDERATIONS<br/>FOR THE COURT . . . . .</b>        | <br>162 |
| § 3.5.1—Recusal . . . . .                                                             | 162     |
| § 3.5.2—Modification of Bond . . . . .                                                | 163     |
| § 3.5.3—Practical Considerations for the Trial Court . . . . .                        | 163     |
| <br><b>§ 3.6 DISMISSAL: THE CONSTITUTIONAL RIGHT<br/>TO A SPEEDY TRIAL . . . . .</b>  | <br>164 |
| § 3.6.1—Summary of the Constitutional Right<br>to a Speedy Trial . . . . .            | 164     |
| § 3.6.2—The Length of the Delay . . . . .                                             | 165     |
| § 3.6.3—The Reasons for the Delay . . . . .                                           | 166     |
| § 3.6.4—The Defendant’s Assertion of the Right<br>to a Speedy Trial . . . . .         | 167     |
| § 3.6.5—The Requirement of Prejudice<br>to the Defendant . . . . .                    | 167     |
| <br><b>§ 3.7 DISMISSAL BASED ON THE STATUTE<br/>OF LIMITATIONS . . . . .</b>          | <br>168 |
| <br><b>§ 3.8 DISMISSAL BASED ON LOSS OF EVIDENCE . . . . .</b>                        | <br>170 |
| § 3.8.1—Loss of Evidence Generally . . . . .                                          | 170     |
| § 3.8.2—Loss of Breath or Blood Sample . . . . .                                      | 171     |
| § 3.8.3—Loss of Videotapes . . . . .                                                  | 174     |
| § 3.8.4—Law Enforcement’s Failure to Comply with<br>Express Consent Statute . . . . . | 174     |



## *Table of Contents*

|                                                                          |                                                    |     |
|--------------------------------------------------------------------------|----------------------------------------------------|-----|
| § 3.9                                                                    | <b>DISMISSAL BASED ON DOUBLE JEOPARDY</b>          | 178 |
| § 3.9.1—Summary                                                          |                                                    | 178 |
| § 3.9.2—Jeopardy Resulting from a Prior Prosecution                      |                                                    | 178 |
| § 3.9.3—Jeopardy and Consecutive Sentencing                              |                                                    | 180 |
| § 3.9.4—Jeopardy Resulting from an<br>Administrative Sanction            |                                                    | 180 |
| § 3.10                                                                   | <b>DUE PROCESS AND EQUAL<br/>PROTECTION CLAIMS</b> | 181 |
| § 3.11                                                                   | <b>PROSECUTORIAL MISCONDUCT</b>                    | 182 |
| <hr/>                                                                    |                                                    |     |
| <b>Chapter 4</b>                                                         | <b>MOTIONS TO SUPPRESS EVIDENCE</b>                | 185 |
| <hr/>                                                                    |                                                    |     |
| § 4.1                                                                    | <b>SUPPRESSION ISSUES GENERALLY</b>                | 186 |
| § 4.1.1—Overview of Suppression Issues                                   |                                                    | 186 |
| § 4.1.2—Overview of Procedures for Resolving<br>Suppression Issues       |                                                    | 189 |
| § 4.2                                                                    | <b>THE INITIAL CONTACT WITH<br/>THE DEFENDANT</b>  | 193 |
| § 4.2.1—Overview                                                         |                                                    | 193 |
| § 4.2.2—Consensual Encounters and<br>Emergency Contacts                  |                                                    | 194 |
| § 4.2.3—Traffic Stops                                                    |                                                    | 197 |
| § 4.2.4—REDDI Reports and Other<br>Citizen Information                   |                                                    | 201 |
| § 4.2.5—Investigation of Other Crimes and<br>Other Search Justifications |                                                    | 202 |
| § 4.2.6—Roadblocks/Checkpoints                                           |                                                    | 204 |
| § 4.2.7—Evaporation of Reasonable Suspicion                              |                                                    | 207 |
| § 4.3                                                                    | <b>THE ROADSIDE SOBRIETY TESTS</b>                 | 207 |
| § 4.3.1—Summary                                                          |                                                    | 207 |
| § 4.3.2—The Requirement of Probable Cause<br>or Voluntariness            |                                                    | 208 |
| § 4.3.3—Administration of Tests                                          |                                                    | 210 |

|                                                                                     |                                             |     |
|-------------------------------------------------------------------------------------|---------------------------------------------|-----|
| § 4.4                                                                               | <b>THE ARREST</b> .....                     | 211 |
| § 4.4.1—Summary                                                                     | .....                                       | 211 |
| § 4.4.2—The Meaning of “Arrest”                                                     | .....                                       | 212 |
| § 4.4.3—The Requirement of Probable Cause                                           | .....                                       | 214 |
| § 4.4.4—Fact-Specific Cases                                                         | .....                                       | 215 |
| § 4.5                                                                               | <b>THE CHEMICAL TESTS</b> .....             | 218 |
| § 4.5.1—Summary                                                                     | .....                                       | 218 |
| § 4.5.2—Voluntariness and the Express Consent Law                                   | .....                                       | 218 |
| § 4.5.3—Compliance with CDPHE Regulations                                           | .....                                       | 223 |
| § 4.6                                                                               | <b>MOTIONS TO SUPPRESS STATEMENTS</b> ..... | 223 |
| § 4.6.1—Summary                                                                     | .....                                       | 223 |
| § 4.6.2—Overcoming an Illegal Arrest or Search                                      | .....                                       | 224 |
| § 4.6.3—When a Defendant Is in “Custody” for the<br>Purposes of the Fifth Amendment | .....                                       | 226 |
| § 4.6.4—The Meaning of Interrogation                                                | .....                                       | 228 |
| § 4.6.5—The Right to Counsel                                                        | .....                                       | 229 |
| § 4.6.6—The <i>Miranda</i> Advisement                                               | .....                                       | 230 |
| § 4.6.7—The Waiver of <i>Miranda</i> Rights                                         | .....                                       | 231 |
| § 4.6.8—Honoring the Invocation of Rights                                           | .....                                       | 234 |
| § 4.6.9—The Requirement of Voluntariness                                            | .....                                       | 235 |
| <b>Chapter 5</b>                                                                    | <b>TRIAL PROCEDURE</b> .....                | 239 |
| § 5.1                                                                               | <b>PRELIMINARY MATTERS</b> .....            | 241 |
| § 5.1.1—Motion to Amend Summons or Complaint                                        | .....                                       | 241 |
| § 5.1.2—Motion to Compel Election of Charges                                        | .....                                       | 242 |
| § 5.1.3—Establishing General Trial Procedures                                       | .....                                       | 242 |
| § 5.1.4—Motions <i>In Limine</i>                                                    | .....                                       | 244 |
| § 5.1.5—Endorsement and Sequestration of Witnesses                                  | .....                                       | 244 |
| § 5.1.6—Interpreters                                                                | .....                                       | 247 |
| § 5.1.7—Affirmative Defenses                                                        | .....                                       | 248 |

## Table of Contents

|              |                                                                   |     |
|--------------|-------------------------------------------------------------------|-----|
| <b>§ 5.2</b> | <b>JURY SELECTION</b>                                             | 249 |
| § 5.2.1      | —Introduction                                                     | 249 |
| § 5.2.2      | —Orientation of Prospective Jurors                                | 251 |
| § 5.2.3      | —Trial Court <i>Voir Dire</i>                                     | 253 |
| § 5.2.4      | — <i>Voir Dire</i> by Counsel                                     | 256 |
| § 5.2.5      | —Hardship Excuses/“Best Interest of Justice”                      | 258 |
| § 5.2.6      | —Challenges for Cause                                             | 259 |
| § 5.2.7      | —Peremptory Challenges                                            | 263 |
| § 5.2.8      | —Challenges Based on Race or Other<br>“Protected Class”           | 264 |
| § 5.2.9      | —Alternate Jurors                                                 | 267 |
| § 5.2.10     | —Swearing and Instructing the Jury                                | 267 |
| <b>§ 5.3</b> | <b>JURY ISSUES DURING TRIAL</b>                                   | 268 |
| § 5.3.1      | —Outlining Trial Procedures for Jurors                            | 268 |
| § 5.3.2      | —Instructions Concerning Juror Behavior                           | 268 |
| § 5.3.3      | —Juror Note-Taking and Notebooks                                  | 269 |
| § 5.3.4      | —Juror Questions During Trial                                     | 269 |
| § 5.3.5      | —Sequestration of Jurors                                          | 271 |
| § 5.3.6      | —Juror Replacement During Trial with Alternate                    | 271 |
| § 5.3.7      | —Missing Juror with No Alternate                                  | 272 |
| <b>§ 5.4</b> | <b>TRIAL PROCEDURES</b>                                           | 272 |
| § 5.4.1      | —Opening Statements                                               | 272 |
| § 5.4.2      | —Evidence Issues                                                  | 273 |
| § 5.4.3      | —Witness Examination                                              | 273 |
| § 5.4.4      | —Exhibits                                                         | 275 |
| § 5.4.5      | —Motion for Judgment of Acquittal at<br>Close of Prosecution Case | 275 |
| § 5.4.6      | —Advisement to the Defendant Concerning<br>the Right to Testify   | 276 |
| § 5.4.7      | —Motion for Judgment of Acquittal at<br>Conclusion of Evidence    | 279 |
| § 5.4.8      | —Election of Counts                                               | 279 |
| § 5.4.9      | —Jury Instructions                                                | 280 |
| § 5.4.10     | —Closing Arguments                                                | 282 |
| § 5.4.11     | —Mistrial                                                         | 288 |

|              |                                                                  |            |
|--------------|------------------------------------------------------------------|------------|
| <b>§ 5.5</b> | <b>SUBMITTING CASE TO THE JURY;<br/>DELIBERATION AND VERDICT</b> | <b>288</b> |
| § 5.5.1—     | Submitting Case to the Jury                                      | 288        |
| § 5.5.2—     | Jury Questions During Deliberations                              | 289        |
| § 5.5.3—     | Giving Evidence to the Jury<br>During Deliberations              | 290        |
| § 5.5.4—     | Jury Conduct During Deliberations                                | 290        |
| § 5.5.5—     | Dismissal of Juror After Deliberations<br>Have Begun             | 292        |
| § 5.5.6—     | Hung Juries                                                      | 293        |
| § 5.5.7—     | Taking the Verdict                                               | 295        |
| § 5.5.8—     | Discharging the Jury                                             | 296        |
| § 5.5.9—     | Debriefing the Jury                                              | 297        |
| § 5.5.10—    | Judgment of Acquittal Notwithstanding<br>the Verdict             | 297        |
| <b>§ 5.6</b> | <b>SAMPLE JURY INSTRUCTIONS</b>                                  | <b>297</b> |
| § 5.6.1—     | General Concluding Jury Instructions                             | 297        |
| § 5.6.2—     | Instruction on DUI                                               | 302        |
| § 5.6.3—     | Instruction on Driving with Excessive<br>Alcohol Content         | 303        |
| § 5.6.4—     | Instruction on DWAI/DWAI as<br>Lesser-Included Offense           | 304        |
| § 5.6.5—     | Instruction on Chemical Test                                     | 307        |
| § 5.6.6—     | Instruction on “Driving”                                         | 310        |
| § 5.6.7—     | Other DUI Instructions                                           | 311        |
| <b>§ 5.7</b> | <b>FINAL INSTRUCTIONS AND JURY<br/>VERDICT FORMS</b>             | <b>313</b> |
| § 5.7.1—     | Final Instructions                                               | 313        |
| § 5.7.2—     | Jury Verdict Forms                                               | 314        |

## **EXHIBITS**

|             |                                                  |     |
|-------------|--------------------------------------------------|-----|
| Exhibit 5A— | Proposed Instruction Concerning<br>Deliberations | 315 |
| Exhibit 5B— | Jury Instruction Checklist                       | 317 |
| Exhibit 5C— | Exhibit List Form                                | 319 |

## *Table of Contents*

|                                                                              |            |
|------------------------------------------------------------------------------|------------|
| Exhibit 5D—Criminal Jury Trial Checklist/<br>Time Chart Form .....           | 321        |
| Exhibit 5E—2014 COLJI-CRIM. B:01 Jury Selection<br>“Script” .....            | 323        |
| Exhibit 5F—Jury Verdict Forms .....                                          | 333        |
| Exhibit 5G—Bench Guide to Language Interpreters .....                        | 337        |
| <hr/>                                                                        |            |
| <b>Chapter 6 EVIDENCE .....</b>                                              | <b>339</b> |
| <hr/>                                                                        |            |
| § 6.1 EVIDENCE OF INITIAL OBSERVATIONS .....                                 | 341        |
| § 6.2 EVIDENCE OF ROADSIDE SOBRIETY TESTS .....                              | 342        |
| § 6.2.1—Summary .....                                                        | 342        |
| § 6.2.2—Horizontal Gaze Nystagmus .....                                      | 344        |
| § 6.2.3—Walk-and-Turn Test .....                                             | 347        |
| § 6.2.4—One Leg Stand .....                                                  | 348        |
| § 6.2.5—Rhomberg (Modified Attention<br>Maneuver) Test .....                 | 349        |
| § 6.2.6—Alphabet or Counting Test .....                                      | 349        |
| § 6.2.7—Finger-to-Nose Test .....                                            | 350        |
| § 6.2.8—Preliminary Breath Test .....                                        | 350        |
| § 6.2.9—Vertical Gaze Nystagmus .....                                        | 351        |
| § 6.2.10—The Need for an Expert to Admit the<br>Facts of the Tests .....     | 353        |
| § 6.2.11—The Need for an Expert Concerning<br>Performance on the Tests ..... | 353        |
| § 6.2.12—Drug Recognition Experts .....                                      | 359        |
| § 6.2.13—Defendant’s Refusal to Perform Tests .....                          | 360        |
| § 6.3 EVIDENCE OF BREATH TESTS .....                                         | 361        |
| § 6.3.1—Introduction .....                                                   | 361        |
| § 6.3.2—The Foundation for Admission .....                                   | 362        |
| § 6.3.3—The Reliability of the Testing Method .....                          | 365        |
| § 6.3.4—The Requirement of Certification .....                               | 366        |
| § 6.3.5—Chain of Custody .....                                               | 367        |
| § 6.3.6—Variance Between Test Results .....                                  | 368        |
| § 6.3.7—The Intoxilyzer Instruments .....                                    | 370        |

|                                                                       |            |
|-----------------------------------------------------------------------|------------|
| § 6.3.8—Defendant’s Refusal to Provide Sample . . . . .               | 374        |
| § 6.3.9—Recantation After Refusal . . . . .                           | 376        |
| § 6.3.10—A Sampling of Cases . . . . .                                | 378        |
| <b>§ 6.4 EVIDENCE OF BLOOD TESTS . . . . .</b>                        | <b>379</b> |
| § 6.4.1—Introduction . . . . .                                        | 379        |
| § 6.4.2—The Foundation for Admission . . . . .                        | 380        |
| § 6.4.3—The Reliability of the Testing Method . . . . .               | 381        |
| § 6.4.4—The Requirement of Certification . . . . .                    | 381        |
| § 6.4.5—Chain of Custody . . . . .                                    | 381        |
| § 6.4.6—Variance Between Test Results . . . . .                       | 381        |
| § 6.4.7—Blood Draw and Testing Methods . . . . .                      | 382        |
| § 6.4.8—Defendant’s Refusal to Provide Sample . . . . .               | 383        |
| § 6.4.9—Recantation After Refusal . . . . .                           | 383        |
| § 6.4.10—A Sampling of Cases . . . . .                                | 383        |
| <b>§ 6.5 STATEMENTS AND ACTIONS OF<br/>THE DEFENDANT . . . . .</b>    | <b>384</b> |
| § 6.5.1—Admissibility of Statements by Defendant . . . . .            | 384        |
| § 6.5.2—Admissibility of Silence by Defendant . . . . .               | 387        |
| <b>§ 6.6 OPINION TESTIMONY . . . . .</b>                              | <b>390</b> |
| § 6.6.1—Lay Opinion Concerning Intoxication . . . . .                 | 390        |
| § 6.6.2—Expert Opinion Concerning Intoxication . . . . .              | 392        |
| § 6.6.3—Opinion Concerning Roadside Test Performance . . . . .        | 392        |
| <b>§ 6.7 MOTOR VEHICLE RECORDS . . . . .</b>                          | <b>394</b> |
| § 6.7.1—Admissibility of General DMV Records . . . . .                | 394        |
| § 6.7.2—Admissibility of Notice of Revocation . . . . .               | 395        |
| <b>§ 6.8 HEARSAY ISSUES UNDER THE RULES<br/>OF EVIDENCE . . . . .</b> | <b>396</b> |
| § 6.8.1—Hearsay Generally . . . . .                                   | 396        |
| § 6.8.2—Statements by Defendant . . . . .                             | 397        |
| § 6.8.3—Use of Dispatch Statements . . . . .                          | 397        |

## Table of Contents

|                                                                                      |            |
|--------------------------------------------------------------------------------------|------------|
| § 6.8.4—Use of REDDI and Similar Citizen Reports . . . . .                           | 397        |
| § 6.8.5—Excited Utterances . . . . .                                                 | 398        |
| <b>§ 6.9 HEARSAY AND THE CONFRONTATION CLAUSE . .</b>                                | <b>398</b> |
| § 6.9.1—Introduction . . . . .                                                       | 398        |
| § 6.9.2— <i>Crawford</i> and the Confrontation Clause . . . . .                      | 399        |
| § 6.9.3—The Meaning of “Testimonial” Statements . . . . .                            | 399        |
| § 6.9.4—The Analysis of Non-testimonial Statements . . . . .                         | 407        |
| <b>§ 6.10 PRIOR STATEMENTS BY A WITNESS . . . . .</b>                                | <b>411</b> |
| § 6.10.1—Summary . . . . .                                                           | 411        |
| § 6.10.2—Use of Prior Testimony . . . . .                                            | 411        |
| § 6.10.3—Use of Prior Statements to Refresh<br>Recollection . . . . .                | 412        |
| § 6.10.4—Use of Prior Statements as Recorded<br>Recollections . . . . .              | 413        |
| § 6.10.5—Use of Prior Inconsistent Statements<br>to Impeach . . . . .                | 414        |
| § 6.10.6—Use of Prior Inconsistent Statements<br>as Substantive Evidence . . . . .   | 415        |
| § 6.10.7—Use of Prior Consistent Statements to<br>Rehabilitate the Witness . . . . . | 417        |
| <b>§ 6.11 REAL AND DEMONSTRATIVE EVIDENCE . . . . .</b>                              | <b>418</b> |
| § 6.11.1—Real Evidence . . . . .                                                     | 418        |
| § 6.11.2—Demonstrative Evidence . . . . .                                            | 419        |
| <b>§ 6.12 OTHER EVIDENCE ISSUES . . . . .</b>                                        | <b>422</b> |
| § 6.12.1—Impeachment with Prior Convictions . . . . .                                | 422        |
| § 6.12.2—Impeachment with Pending Cases . . . . .                                    | 424        |
| § 6.12.3—Impeachment with Evidence Concerning<br>Truthfulness . . . . .              | 424        |
| § 6.12.4—Impeachment with Other Bad Acts . . . . .                                   | 425        |

---

|                  |                                                          |            |
|------------------|----------------------------------------------------------|------------|
| <b>Chapter 7</b> | <b>SENTENCING</b>                                        | <b>427</b> |
| § 7.1            | <b>THE GOALS OF SENTENCING</b>                           | <b>428</b> |
| § 7.1.1          | Summary                                                  | 428        |
| § 7.1.2          | Punishment                                               | 430        |
| § 7.1.3          | Fairness and Consistency                                 | 430        |
| § 7.1.4          | Deterrence                                               | 431        |
| § 7.1.5          | Rehabilitation                                           | 431        |
| § 7.1.6          | Evidence-Based Sentencing                                | 432        |
| § 7.1.7          | Restorative Justice                                      | 433        |
| § 7.1.8          | Protection of Society                                    | 433        |
| § 7.2            | <b>GENERAL SENTENCING PROCEDURE</b>                      | <b>433</b> |
| § 7.2.1          | General Procedure                                        | 433        |
| § 7.2.2          | Immediate Sentencing                                     | 435        |
| § 7.2.3          | Delayed Sentencing                                       | 436        |
| § 7.2.4          | Prior Convictions                                        | 437        |
| § 7.2.5          | Victim Notification                                      | 439        |
| § 7.3            | <b>ALCOHOL EVALUATIONS AND TREATMENT</b>                 | <b>439</b> |
| § 7.3.1          | Alcohol Evaluations                                      | 439        |
| § 7.3.2          | Treatment Options                                        | 440        |
| § 7.4            | <b>THE POSSIBLE MISDEMEANOR AND<br/>FELONY PENALTIES</b> | <b>441</b> |
| § 7.4.1          | Overview                                                 | 441        |
| § 7.4.2          | Sentences to County Jail                                 | 451        |
| § 7.4.3          | Pre-sentence Confinement Credit                          | 451        |
| § 7.4.4          | Sentences to the Department of Corrections               | 453        |
| § 7.4.5          | Concurrent and Consecutive Sentences                     | 453        |
| § 7.5            | <b>COSTS</b>                                             | <b>454</b> |



## *Table of Contents*

|                  |                                                |     |
|------------------|------------------------------------------------|-----|
| § 7.6            | <b>PROBATION</b>                               | 455 |
| § 7.6.1          | —Overview                                      | 455 |
| § 7.6.2          | —Mandatory Conditions of Probation             | 456 |
| § 7.6.3          | —Discretionary Conditions of Probation         | 458 |
| § 7.6.4          | —Probation and DOC                             | 460 |
| § 7.6.5          | —Revocation of Probation                       | 460 |
| § 7.7            | <b>USEFUL PUBLIC SERVICE</b>                   | 461 |
| § 7.8            | <b>RESTITUTION</b>                             | 461 |
| § 7.8.1          | —Overview                                      | 461 |
| § 7.8.2          | —Procedures for Determining Restitution        | 464 |
| § 7.8.3          | —The Definitions of Restitution and Victim     | 468 |
| § 7.8.4          | —Proximate Cause Issues                        | 470 |
| § 7.8.5          | —Collection Issues                             | 471 |
| § 7.9            | <b>SENTENCING NON-RESIDENTS</b>                | 472 |
| <b>Chapter 8</b> | <b>POST-CONVICTION ISSUES</b>                  | 475 |
| § 8.1            | <b>APPEAL OF CONVICTION</b>                    | 476 |
| § 8.1.1          | —Trial Court’s Duties                          | 476 |
| § 8.1.2          | —Jurisdiction During Appeal                    | 477 |
| § 8.2            | <b>MODIFICATION AND REVOCATION PROCEEDINGS</b> | 477 |
| § 8.2.1          | —Modification of Probation                     | 477 |
| § 8.2.2          | —Revocation of Probation                       | 478 |
| § 8.2.3          | —Revocation of Deferred Judgment and Sentence  | 485 |
| § 8.2.4          | —Reconsideration of Sentence                   | 486 |
| § 8.2.5          | —The Rules of Evidence at Revocation Hearings  | 487 |

|              |                                                         |     |
|--------------|---------------------------------------------------------|-----|
| <b>§ 8.3</b> | <b>COLLATERAL ATTACKS ON CONVICTIONS</b>                | 488 |
| § 8.3.1—     | Summary                                                 | 488 |
| § 8.3.2—     | The Statute of Limitation on Collateral Attacks         | 489 |
| § 8.3.3—     | Justifiable Excuse and Excusable Neglect                | 491 |
| § 8.3.4—     | Other Limitations on Collateral Attacks                 | 493 |
| § 8.3.5—     | The Procedures for Making Collateral Attacks            | 493 |
| § 8.3.6—     | General Grounds for Collateral Attacks                  | 496 |
| § 8.3.7—     | Attacks on Guilty Pleas                                 | 497 |
| § 8.3.8—     | Attacks on Guilty Verdicts                              | 500 |
| § 8.3.9—     | Attacks Based on Ineffective Assistance<br>of Counsel   | 501 |
| § 8.3.10—    | Attacks Based on Defective<br><i>Curtis</i> Advisements | 506 |

## EXHIBITS

|             |                                                                          |     |
|-------------|--------------------------------------------------------------------------|-----|
| Exhibit 8A— | Petition for Postconviction Relief<br>Pursuant to Crim. P. 35(c)         | 507 |
| Exhibit 8B— | Sample Petition and Order to Impose<br>Part or All of Suspended Sentence | 511 |

---

|                  |                                                                        |     |
|------------------|------------------------------------------------------------------------|-----|
| <b>Chapter 9</b> | <b>DRIVER’S LICENSE CONSIDERATIONS AND<br/>COLLATERAL CONSEQUENCES</b> | 513 |
|------------------|------------------------------------------------------------------------|-----|

---

|              |                                                                                |     |
|--------------|--------------------------------------------------------------------------------|-----|
| <b>§ 9.1</b> | <b>INTRODUCTION</b>                                                            | 515 |
| <b>§ 9.2</b> | <b>PER SE AND REFUSAL REVOCATIONS</b>                                          | 516 |
| § 9.2.1—     | Introduction                                                                   | 516 |
| § 9.2.2—     | Per Se Breath Revocations                                                      | 516 |
| § 9.2.3—     | Per Se Blood Revocations                                                       | 517 |
| § 9.2.4—     | Length of Per Se Revocations:<br>BAC .08 or More                               | 517 |
| § 9.2.5—     | Length of Per Se Revocations:<br>BAC .15 or More                               | 518 |
| § 9.2.6—     | Length of Per Se Revocations: Relation to<br>Other Driver’s License Restraints | 519 |
| § 9.2.7—     | Length of Per Se Revocations: Minor Drivers                                    | 520 |

## *Table of Contents*

|                                                                                 |            |
|---------------------------------------------------------------------------------|------------|
| § 9.2.8—Length of Per Se Revocations:<br>Commercial Drivers .04 or More         | 521        |
| § 9.2.9—Length of Per Se Revocations: Minor<br>Commercial Drivers .02 to .039   | 521        |
| § 9.2.10—Refusal Revocations                                                    | 521        |
| § 9.2.11—Length of Refusal Revocations:<br>Ordinary Drivers                     | 521        |
| § 9.2.12—Length of Refusal Revocations:<br>Commercial Drivers                   | 522        |
| § 9.2.13—Reinstatement Requirements Following<br>Per Se and Refusal Revocations | 522        |
| <b>§ 9.3 REQUESTING AND SCHEDULING PER SE<br/>AND REFUSAL HEARINGS</b>          | <b>523</b> |
| § 9.3.1—Requesting the Hearing                                                  | 523        |
| § 9.3.2—Scheduling the Hearing                                                  | 525        |
| <b>§ 9.4 PER SE AND REFUSAL HEARING<br/>PROCEDURES AND ISSUES</b>               | <b>526</b> |
| § 9.4.1—Introduction                                                            | 526        |
| § 9.4.2—Appearances                                                             | 527        |
| § 9.4.3—Hearing Documents and Exhibits                                          | 529        |
| § 9.4.4—Essential Elements of Refusal and<br>Per Se Actions                     | 530        |
| § 9.4.5—Defenses Applicable at Both Per Se<br>and Refusal Hearings              | 533        |
| § 9.4.6—Issues and Defenses at Per Se Hearings                                  | 534        |
| § 9.4.7—Issues and Defenses at Refusal Hearings                                 | 535        |
| § 9.4.8—Police Officer’s Role                                                   | 537        |
| § 9.4.9—Defense Evidence                                                        | 537        |
| § 9.4.10—Appeals                                                                | 541        |
| <b>§ 9.5 MULTIPLE OFFENDER REVOCATIONS</b>                                      | <b>541</b> |
| § 9.5.1—Introduction                                                            | 541        |
| § 9.5.2—Two Convictions in Five Years (2DD)                                     | 542        |
| § 9.5.3—Three Convictions in a Lifetime (3DD)                                   | 542        |
| § 9.5.4—Habitual Traffic Offender                                               | 542        |

|                                                                                                      |            |
|------------------------------------------------------------------------------------------------------|------------|
| § 9.5.5—Felony DUI . . . . .                                                                         | 543        |
| § 9.5.6—Multiple License Restraints . . . . .                                                        | 544        |
| <b>§ 9.6 RESTRICTED LICENSE AND THE<br/>IGNITION INTERLOCK . . . . .</b>                             | <b>546</b> |
| § 9.6.1—Restricted Licenses Generally . . . . .                                                      | 546        |
| § 9.6.2—Mandatory Restricted License Holders . . . . .                                               | 546        |
| § 9.6.3—Early Reinstatement with a Restricted License . . . . .                                      | 548        |
| § 9.6.4—Extension or Revocation of<br>Restricted Licenses . . . . .                                  | 548        |
| § 9.6.5—Ignition Interlock as a Condition of Bond or<br>Probation for a Charge of DUI/DWAI . . . . . | 549        |
| <b>§ 9.7 SR-22 INSURANCE . . . . .</b>                                                               | <b>549</b> |
| <b>§ 9.8 POINT SUSPENSIONS . . . . .</b>                                                             | <b>550</b> |
| § 9.8.1—The System . . . . .                                                                         | 550        |
| § 9.8.2—Accrual on Date of Violation . . . . .                                                       | 551        |
| § 9.8.3—Hearings . . . . .                                                                           | 552        |
| <b>§ 9.9 OUT-OF-STATE DRIVERS AND CONVICTIONS . . . . .</b>                                          | <b>554</b> |
| § 9.9.1—Drivers Licensed in Other States . . . . .                                                   | 554        |
| § 9.9.2—Out-of-State Convictions for Colorado Drivers . . . . .                                      | 555        |
| <b>§ 9.10 COMMERCIAL DRIVER’S LICENSE ISSUES . . . . .</b>                                           | <b>555</b> |
| <b>§ 9.11 COLLATERAL CONSEQUENCES . . . . .</b>                                                      | <b>556</b> |
| § 9.11.1—Attorneys . . . . .                                                                         | 557        |
| § 9.11.2—Pilots . . . . .                                                                            | 558        |
| § 9.11.3—Physicians and Nurses . . . . .                                                             | 558        |
| § 9.11.4—Immigration and Foreign Travel . . . . .                                                    | 559        |
| <b>§ 9.12 CONCLUSION . . . . .</b>                                                                   | <b>560</b> |

**EXHIBITS**

|                                                  |     |
|--------------------------------------------------|-----|
| Exhibit 9A—Definitions .....                     | 561 |
| Exhibit 9B—BAC-Level-Related Actions .....       | 563 |
| Exhibit 9C—Point and Major Offense Actions ..... | 565 |
| Exhibit 9D—Refusal-Related Actions .....         | 569 |
| Exhibit 9E—Non-driving and Other Actions .....   | 571 |
| Exhibit 9F—Habitual Traffic Offender .....       | 573 |
| Exhibit 9G—Underage Drinking and Driving .....   | 575 |

---

|                   |                                                      |            |
|-------------------|------------------------------------------------------|------------|
| <b>Chapter 10</b> | <b>DUI COURTS .....</b>                              | <b>577</b> |
| § 10.1            | INTRODUCTION .....                                   | 578        |
| § 10.2            | DUI COURTS IN COLORADO .....                         | 580        |
| § 10.3            | THE TEN GUIDING PRINCIPLES<br>FOR DUI COURTS .....   | 581        |
| § 10.4            | THE DUI COURT TEAM .....                             | 581        |
| § 10.5            | WHO IS APPROPRIATE FOR A DUI<br>COURT PROGRAM? ..... | 583        |
| § 10.6            | TRANSITIONING THE DEFENDANT<br>INTO DUI COURT .....  | 585        |
| § 10.7            | ASSESSMENT .....                                     | 586        |
| § 10.8            | THE BASIC STRUCTURE OF A DUI COURT .....             | 588        |
| § 10.9            | CASE MANAGEMENT .....                                | 590        |
| § 10.10           | TRANSPORTATION MANAGEMENT .....                      | 592        |

|                                                                                               |     |
|-----------------------------------------------------------------------------------------------|-----|
| <b>§ 10.11 TREATMENT</b>                                                                      | 593 |
| § 10.11.1—Statutorily Mandated Treatment                                                      | 594 |
| § 10.11.2—Withdrawal Management                                                               | 596 |
| § 10.11.3—Relapse Prevention                                                                  | 597 |
| § 10.11.4—Evidence-Based Pharmacological Treatments                                           | 598 |
| § 10.11.5—Treatment for Co-occurring Disorders, Such<br>as Mental Health Disorders and Trauma | 599 |
| § 10.11.6—Cognitive Behavioral Therapy                                                        | 600 |
| § 10.11.7—Organized Recovery Support Programs                                                 | 602 |
| § 10.11.8—Treatment Addressing Specified Participant<br>Competencies                          | 602 |
| <b>§ 10.12 SOBRIETY TESTING</b>                                                               | 603 |
| <b>§ 10.13 COURT REVIEWS AND JUDICIAL<br/>INTERACTION WITH PARTICIPANTS</b>                   | 607 |
| <b>§ 10.14 INCENTIVES AND SANCTIONS</b>                                                       | 608 |
| <b>§ 10.15 CONCLUDING THE PARTICIPANT’S<br/>INVOLVEMENT WITH THE DUI COURT</b>                | 611 |
| <b>§ 10.16 LEGAL AND ETHICAL ISSUES FOR<br/>JUDICIAL OFFICERS</b>                             | 613 |
| § 10.16.1— <i>Ex Parte</i> Communications                                                     | 613 |
| § 10.16.2—Sanctions and Due Process                                                           | 613 |
| § 10.16.3—Confidentiality Generally                                                           | 615 |
| § 10.16.4—Participant Statements Disclosing<br>Criminal Behavior                              | 616 |
| § 10.16.5—Conflicts of Interest Between the Judicial<br>Officer and the Participant           | 617 |
| § 10.16.6—Judicial Activities Outside the Courtroom                                           | 617 |
| § 10.16.7—Respect for Religious Beliefs                                                       | 618 |
| § 10.16.8—Medical Marijuana                                                                   | 619 |

*Table of Contents*

**EXHIBITS**

|                                                                                                             |     |
|-------------------------------------------------------------------------------------------------------------|-----|
| Exhibit 10A—Authorization to Share Information . . . . .                                                    | 621 |
| Exhibit 10B—Advisement Concerning Due<br>Process Rights and Sanctions<br>for DUI Court Violations . . . . . | 623 |
| Exhibit 10C—Advisement Concerning Use<br>of Statements . . . . .                                            | 625 |
| Exhibit 10D—Doctor’s Notice . . . . .                                                                       | 627 |

---

|                                                                                                              |            |
|--------------------------------------------------------------------------------------------------------------|------------|
| <b>APPENDIX A 5 C.C.R. 1005-2 — Tests for Alcohol and<br/>Other Drugs (Amended Sept. 19, 2012) . . . . .</b> | <b>629</b> |
|--------------------------------------------------------------------------------------------------------------|------------|

---

---

|                                 |            |
|---------------------------------|------------|
| <b>TABLE OF CASES . . . . .</b> | <b>667</b> |
|---------------------------------|------------|

---

---

|                                |            |
|--------------------------------|------------|
| <b>SUBJECT INDEX . . . . .</b> | <b>705</b> |
|--------------------------------|------------|

---